

Nearby Exo-Earth Astrometric Telescope (NEAT)

M. Shao for the NEAT team

Number of stars	Mass threshold (M_{\oplus})	Cumulated time (h)	Number of visits
5	0.5	1,100	500
70	1	15,600	3,500
200	5	6,400	6,000
Total		22,100	10,000

NEAT is a ESA CV2 proposal as an M class mission. F. Malbet

~100 Co-I's 80 in Europe

Intro

- Towards discovery and characterization of Exo-Earths
 - Eta_earth from RV (Astrometry and Direct Detection)
- In astrometry with a telescope, what are the major obstacles, that led us to the SIM design?
 - HST, Kepler, etc?
 - **Photon noise**. WFPC3 has a ~ 3 arcmin field. Brightness of **ref stars** \sim area of FOV. (SIM's 2 deg DIA, ~ 2000 bigger)
 - **Beam walk** (optical errors). The stellar footprint on secondary, tertiary is different for different stars in the FOV.
 - **Focal plane array stability**. (Mosaic of ccd's)
 - **Intra-pixel QE variations/PSF**. (even in a narrow field, the stars move ~ 0.2 arcsec due to diff stellar aberration, also PM, parallax)

Is Astrometric Exo-Earth Discovery Scientifically Important?

- Can RV find nearby Earths?
- A. Howard gave a good review of our current understanding.
- A lot of work has been done and continues on what is the ultimate limit in RV due to astrophysical noise.
- G. Marcy, S. Udry, and D. Queloz, are all on the NEAT science team.
- Ignoring meridional flows, under the most optimistic assumptions (brightest ~dozen) Espresso might get to 2 Mearth. (lots of telescope time)
- The Espresso target list will consist of the (RV)quietest ~50 targets, at a mean distance of ~40 parsec. (as of mid 2009) The vast majority of these targets can't be followed up by a 4m or even 8m coronagraph. (25?mas R_{max})

The distribution of HOT Terrestrial mass planets was estimated by the Berkeley Eta_earth survey of nearby FGK stars. The estimates are based on detected planets, candidate planets as well as an estimate of the “missed” planets. **For HOT Earths $P < 50$ days**

1-3 Mearth $\sim 14\%$ (+8%, -5%)

3-10 Mearth 12% (+4.3%, -3.5%)

Howard et. al. Science Vol 330 P653, Oct 2010

<http://www.sciencemag.org/content/330/6004/653.full.pdf>

if we assume uniform density in log P, $(\log(50/2) / \log(1.6\text{yr}/0.6\text{yr})) \sim 3$

Hab Zone Planets 1-3 Me 4.7%

Hab Zone Planets 3-10 Me 4.0%

A search of **35 stars** will have a 95% chance of finding 1 or more 1-10 Me planets in the HZ. (on average find 3 planets)

A search of **64 stars** will have a 95% chance of finding 1 or more 1-3 Me planets in the HZ. (on average find 3 planets)

The unique capability of a direct detection mission is to measure the spectra of the planet, in the visible to find oxygen in the atmosphere. The table below gives the size of the telescope needed to detect oxygen in an exo-earth around the nearest N stars.

	R_max (mas)	Contrast	Tel D @ $2\lambda/D$	Tel D @ $4\lambda/D$
10th best star	154	4.00E-11	2.57	5.14
20th best star	116	2.70E-10	3.41	6.83
30th best	97	1.80E-10	4.08	8.17
40th best	91	6.40E-11	4.35	8.70
50th	83	4.30E-11	4.77	9.54

Tel IWA has to be 20% smaller than R_max to detect Planet
 TPF IWA calculate at 800nm to cover Oxygen line @ 760nm

Separating planet from exo-zodi is much easier
 At $4\lambda/D$ than at $2\lambda/D$.

95% chance of finding
 and characterizing **at least 1** exo-Earth (in
 HZ)

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NEAT is a ESA CV2 proposal as an M class
 (~450M Euro)mission.
 1m telescope and focal plane with < 3Mpix

Major Technical Issues for uas Astrometry

- In astrometry with a telescope, what are the major obstacles, that led us to the SIM design?
 - **Photon noise.** The target stars are bright, photon limit is from the reference stars (SIM's 2 deg DIA)
 - **Beam walk** (optical errors). The stellar footprint on secondary, tertiary is different for different stars in the FOV. (more on this later)
 - **Focal plane array stability.** (Mosaic of ccd's) ($1e-11$)
 - **Intra-pixel QE variations/PSF**
 - Pixels are not uniformly spaced @ $1e-5$ pixels
 - Pixel QE's are not uniform across 1 pixel to $1e-5$
 - The Optical PSF changes by $\lambda/100$ across the field.
 - Centroiding to a few* $1e-3$ pixels has been demonstrated.
 - **SIM related technology provides solutions to the last 2 problems**

Photon Noise

- Nominal 1m telescope 0.36 sqdeg fov
 - 0.71 uas in 1 hr (photon limit from ref stars)
 - SIM-Lite performance 1.0 uas 2axis, in 1 hr

	1m	1.4m
0.4 deg	0.94 uas	0.48
0.6 deg	0.71	0.36
0.8 deg	0.58	0.29 uas

R band 640nm 25% bw
 Total QE 60% (ideal 85%)
 Use photons from brightest 6 ref stars
 # ref stars (avg for sky from AQ4)

#ref stars	5	6	7	10	100
phot noise	0.73	0.71	0.69	0.65	0.52 uas
faintest	11	11	11	12	14 mag

CCD can run at 25C (but very slightly better at 0C)

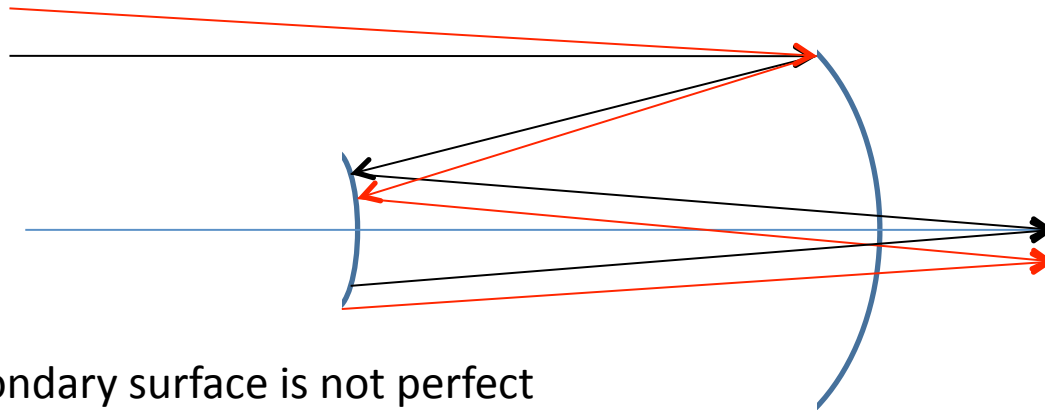
If target star < 8 mag, photon noise from target not important

Photon noise from laser metrology not important.

Lasers turned on ~3% of time, every ~ minute (depending on therm stab)

Beam Walk Error in Normal Telescope

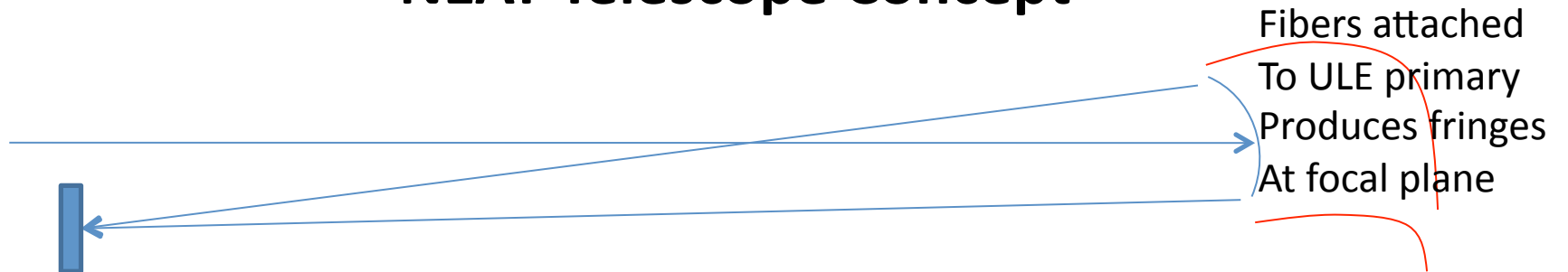
- 1 uas (across a 1m mirror) is 5 picometer/1m. 1/20 the diameter of an atom.



- If the secondary surface is not perfect at the 5pm level there will be systematic biases $> 1\text{ uas}$.
- Biases that are constant (for 5yrs) are OK. But 5pm stability is not possible for any optic over 5 yrs.

Detailed simulation results of **Beam walk error** in a 3 mirror TMA telescope (O. Guyon) would be **0.5~1 milliarcsec** if the secondary and tertiary optics are polished to **1nm** accuracy. Beam walk errors are smaller for smaller angles $\sim 10\text{ uas}$ for 10 arcsec field.

NEAT Telescope Concept



Concept: 1m OAP, 40m focal length, 2 spacecraft fly in formation (L2), or deployed boom (backup)
focal plane of 9 (256*256?) CCDs 8 on X,Y stages. Laser system for focal plane metrology

Beamwalk: There's only 1 optic, **no beam walk**

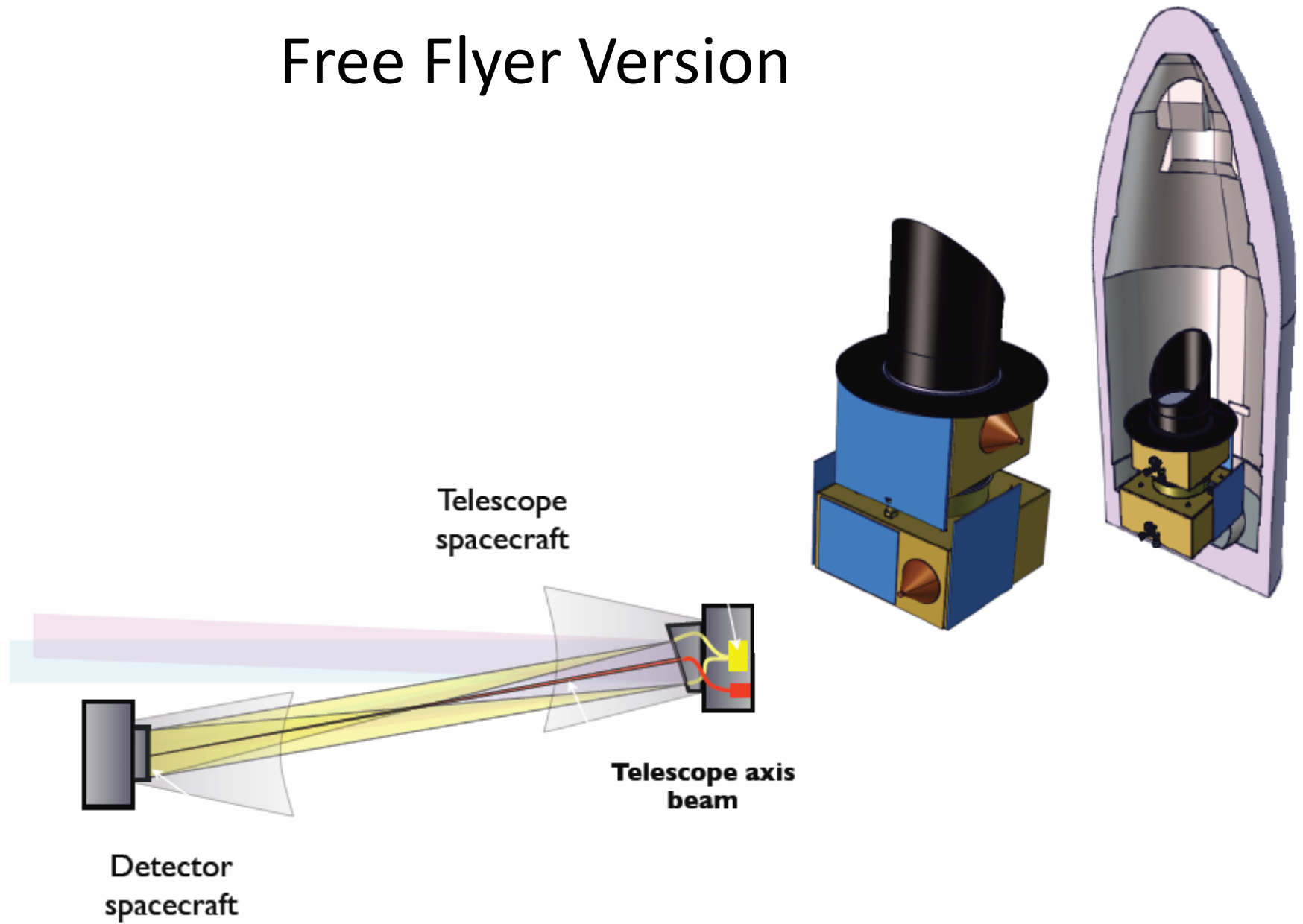
Fibers attached to ULE/Zerodur primary monitors **focal plane geometry.**

Intra-pixel QE/PSF model each pixel modeled with $\langle QE \rangle$, and 5 other parameters that specify $QE(x,y)$ within a pixel. **Centroid PSF to 10^{-5} pix**

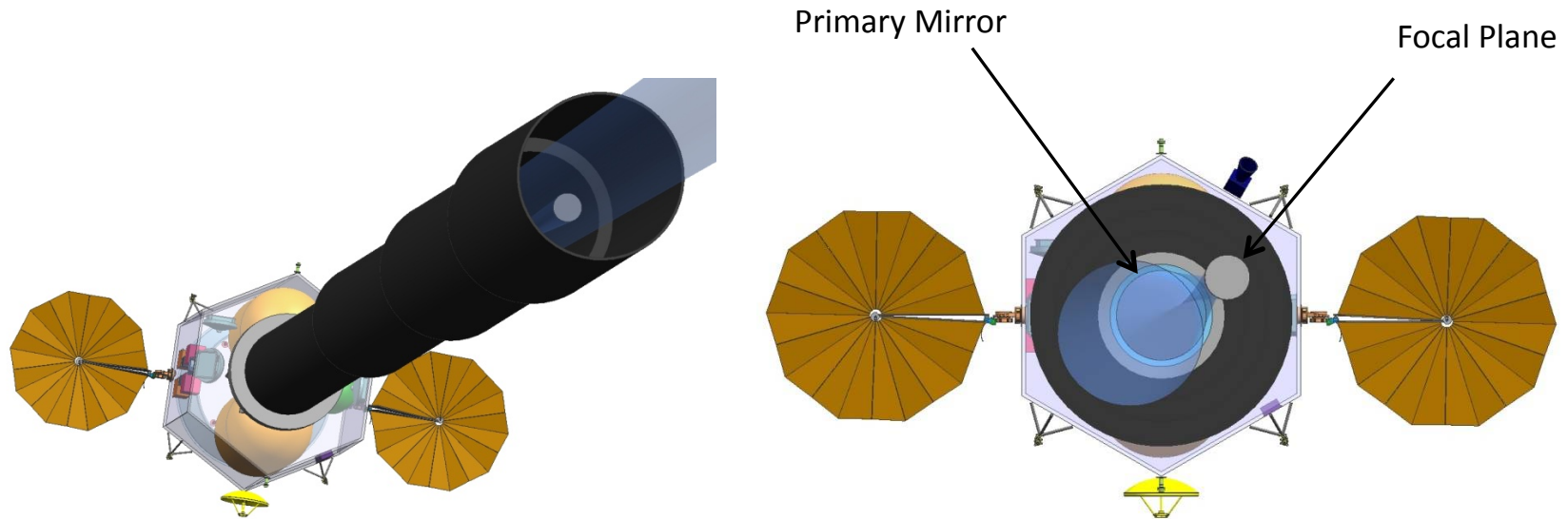
Photon noise. Brightest 8 stars in a 0.6×0.6 deg box.

Cost of giga pixel focal plane, replaced by cost of 9 256*256 CCDs and 8 x,y stages

Free Flyer Version



Telescope – Deployed Version

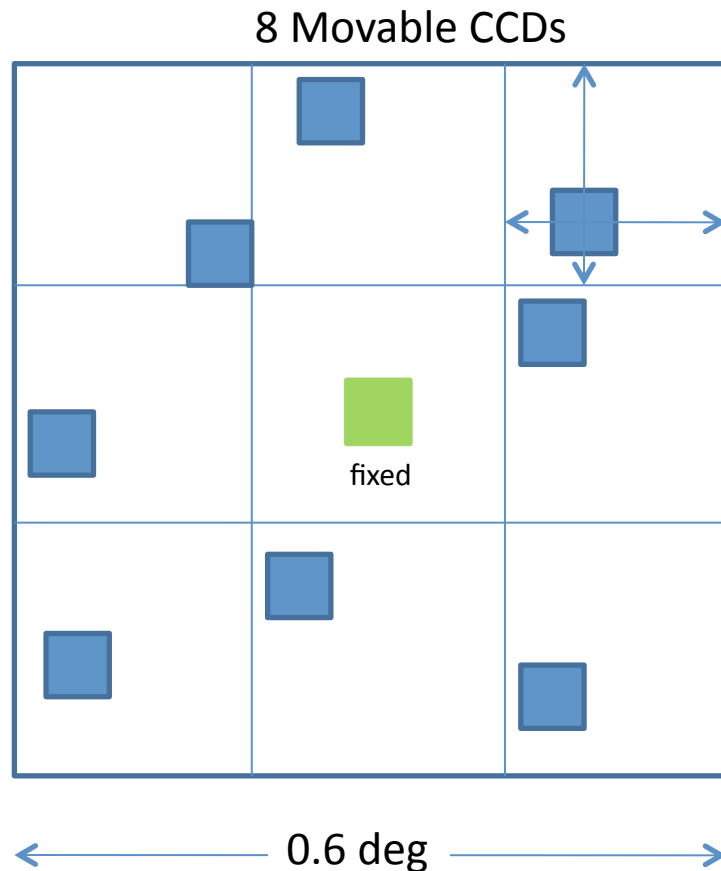


View from Top Looking Down



Fully Deployed Spacecraft

Focal Plane Concept



0.1 uas across 0.6deg is 4×10^{-11} . A **mosiac of CCD's** made of many materials with different CTE, will **not be stable to 4×10^{-11}** for 5 yrs. even 10^{-5} pix over 4000pix within 1 CCD is 2×10^{-9} difficult

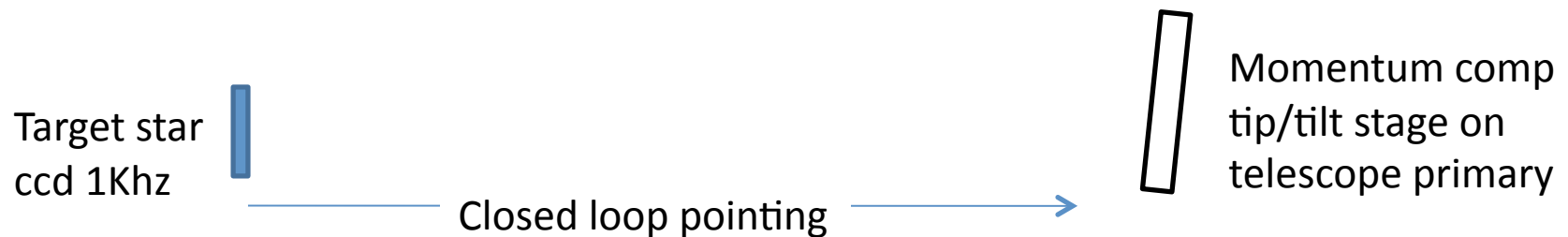
The CCDs for the ref stars move.
Put the star on the same set of pixel(s) at every epoch

Position of CCD monitored by laser metrology across the full FOV
 10^{-5} pix over 32×32 pix is 3×10^{-7} requires $< \sim 0.1K$ long term (Si)

We measure the PSF centroid with respect to the laser fringes, using the CCD pixels as an intermediary.

Pointing Stability

- The target star is very bright, 32*32 pix area read out at 1Khz.
- The centroid (in real time) is fed to a momentum compensated (primary) tip/tilt mechanism to keep the **image** on the **focal plane stable at ~0.5 mas**.
- The spacecraft(s) pointing should be a fraction of an arcsec.
- Our current operations concept is to turn on the metrology for ~1 sec every 10sec to 1 minute, during a typical 2 hr observation of a target. We measure the position of a group of ~32*32 pixels over time.
 - When analyzing metrology data, the stellar light is treated as “background”. A group of 32*32 pixels (300*300um) should be stable to 10^{-5} pix if the CCD temperature is stable to 0.1K.



Exo-Earths Science Goals

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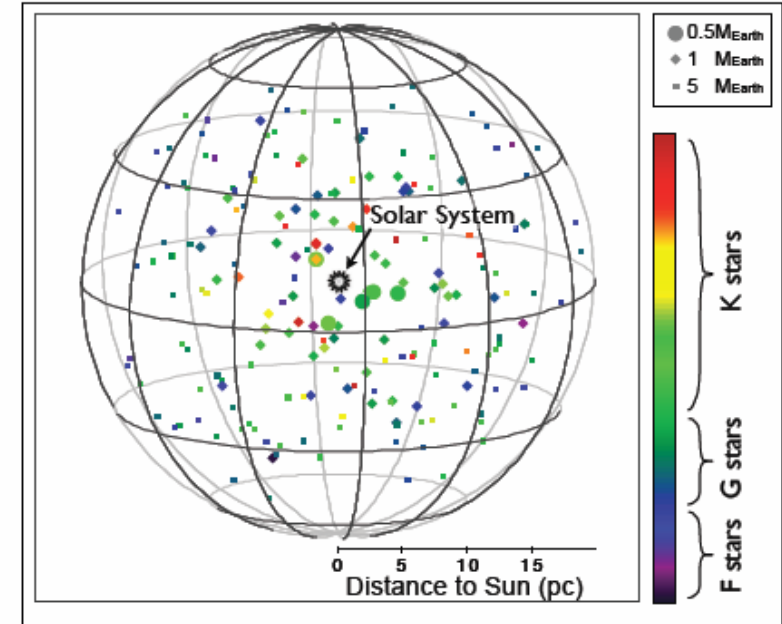


Fig. 1: Representation of the NEAT targets in the 3D sphere of our neighborhood (D up to ≈ 15 pc). They correspond to a volume limited sample of all stars with spectral type between F and K.

Calibrating CCD Centroiding Errors

- Two classes of errors
 - Pixels move. Measure location of a group of pixels
- PSF centroiding with imperfect pixels
 - $QE(x,y,l,j)$ Intrapixel QE spatial variations for each pixel.
 - Deriving Optical PSF shape
- Simulations of PSF centroiding, assumptions, and how detailed do we have to know the PSF and the $QE(x,y)$ to centroid to $\sim 5e-6$ pixels, and how do we make the calibration measurements?
- Initial CCD centroiding results.

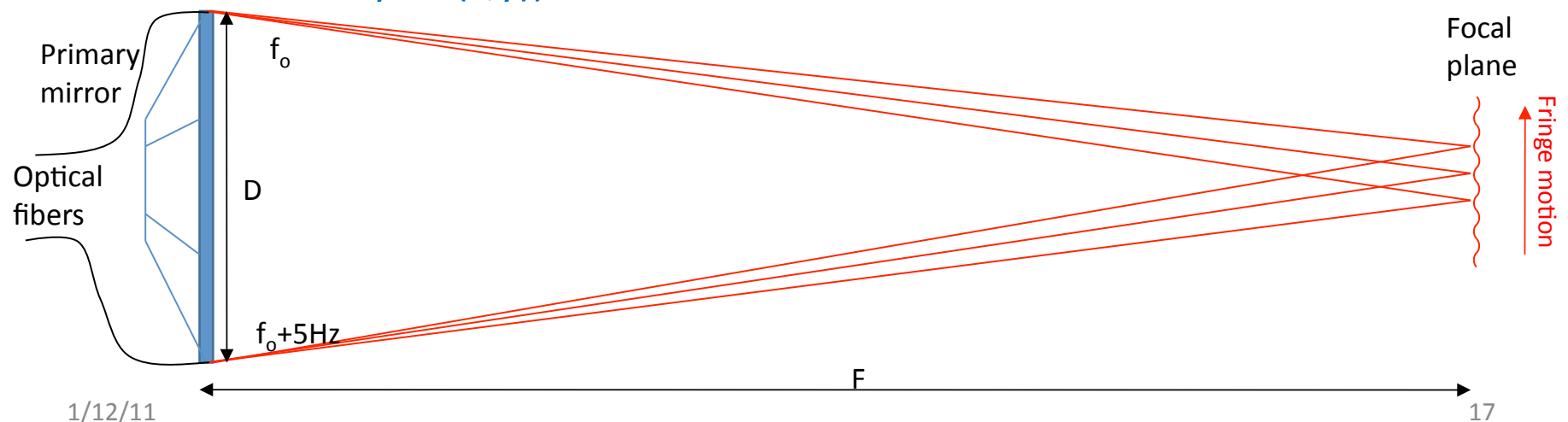
Focal Plane: Pixel Location Calibration

- **Issues:**

- **Focal plane geometry:** 1 uas/0.6deg is $4e-10$. A mosaic of CCDs cannot be kept this stable, CCD pixels will change geometry and move relative to each other.
- **Intra-pixel QE variation:** Every pixel has a different geometry and QE non-uniformity between and within pixels.

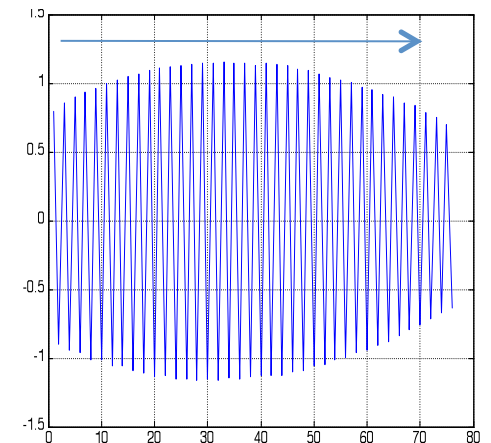
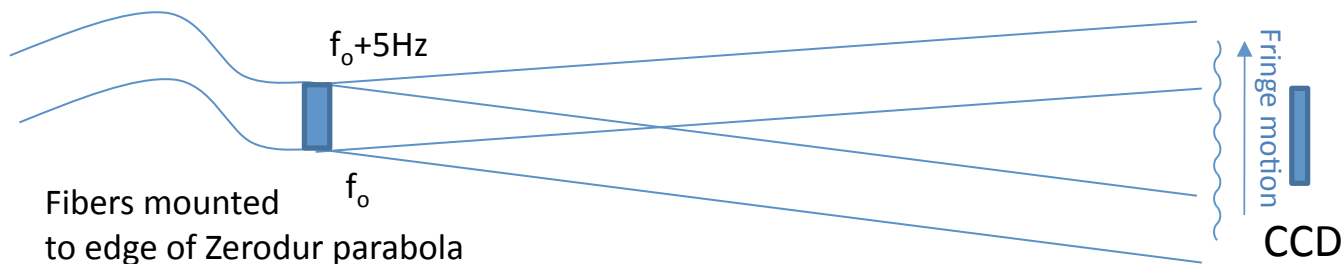
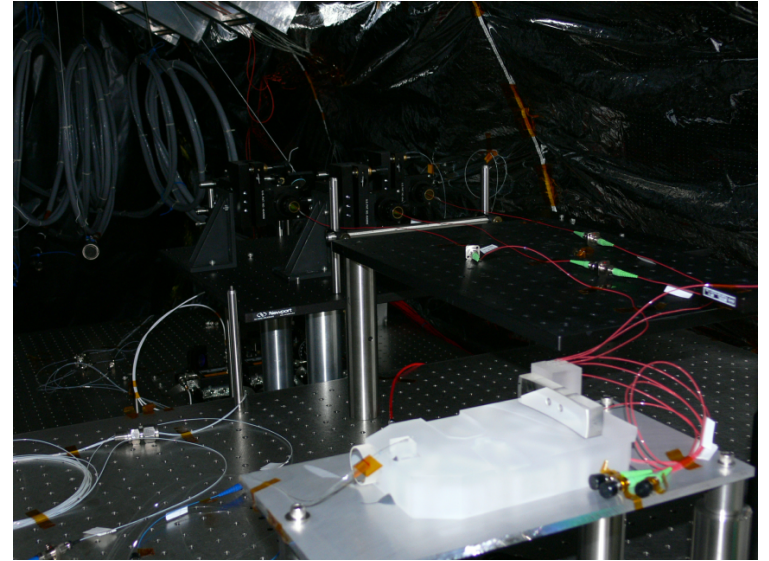
- **Solution:**

- We use a “**focal plane**” metrology system derived from **SIM heterodyne metrology** to precisely monitor the CCD relative locations and to calibrate each pixel (geometric position, $QE(l,j)$ response and QE non-uniformity $QE(x,y)$).



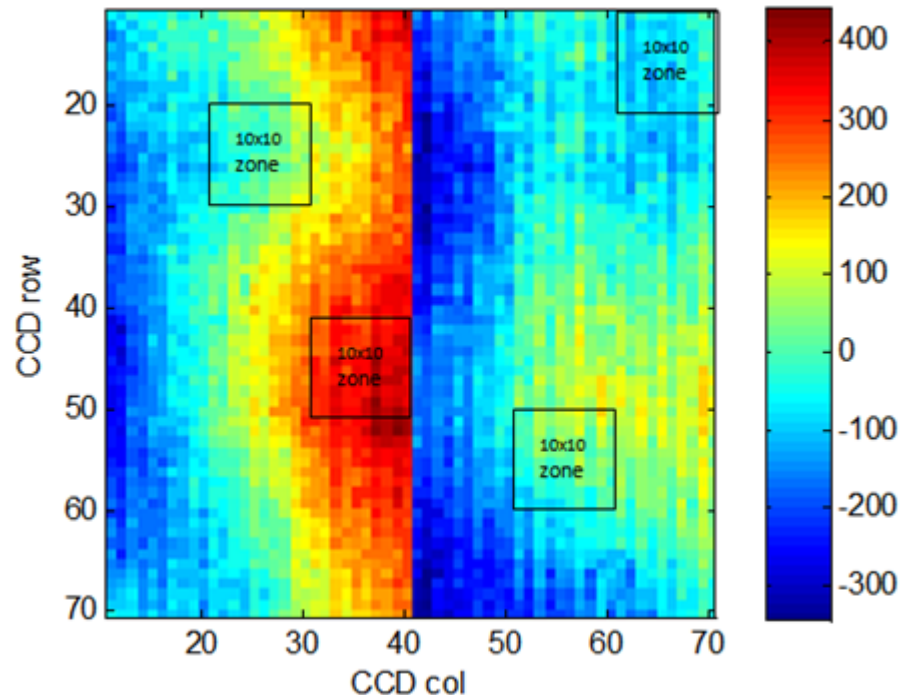
Micropixel Centroid Tesbed

- 10^{-5} pixel centroid measurements are needed for 1 μ s astrometry. Current state of the art is about $\sim 2 \times 10^{-3}$ pixel.
- The graph shows the spatial fringes across 80 pixels.
- The fringes move (left to right) at ~ 5 hz, images are recorded at 50hz.
 - If the fringe motion is uniform, then one pixel's output is $C_0 + C_1 \sin(\omega t + \phi(i,j))$
 - $\phi(i,j)$ gives us the location of the pixel

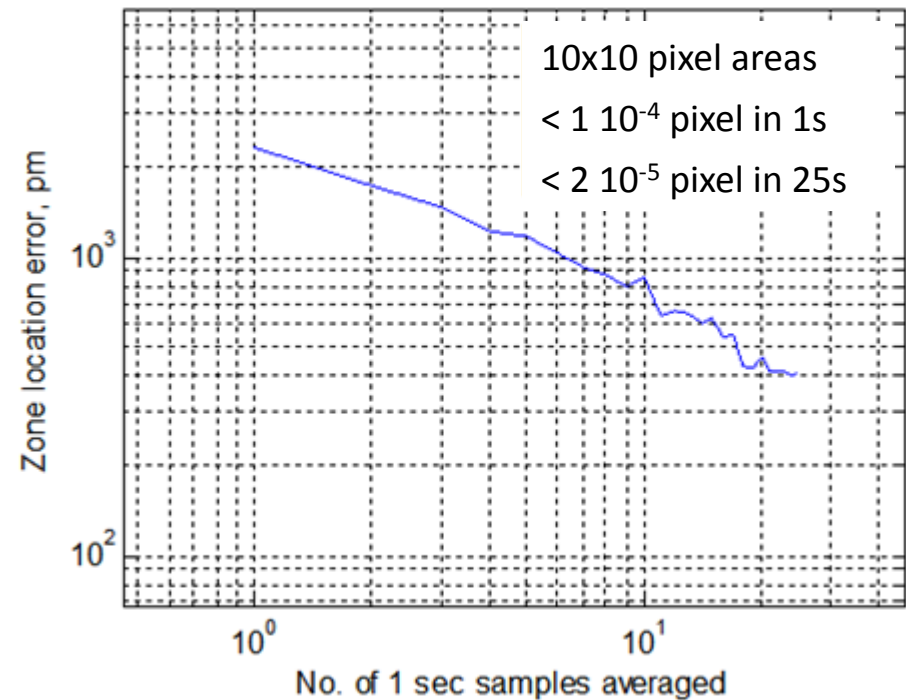


Testbed Results: Measuring Pixel Motion

Pixel location offset δr along κ (nm) [20100921 r0031]



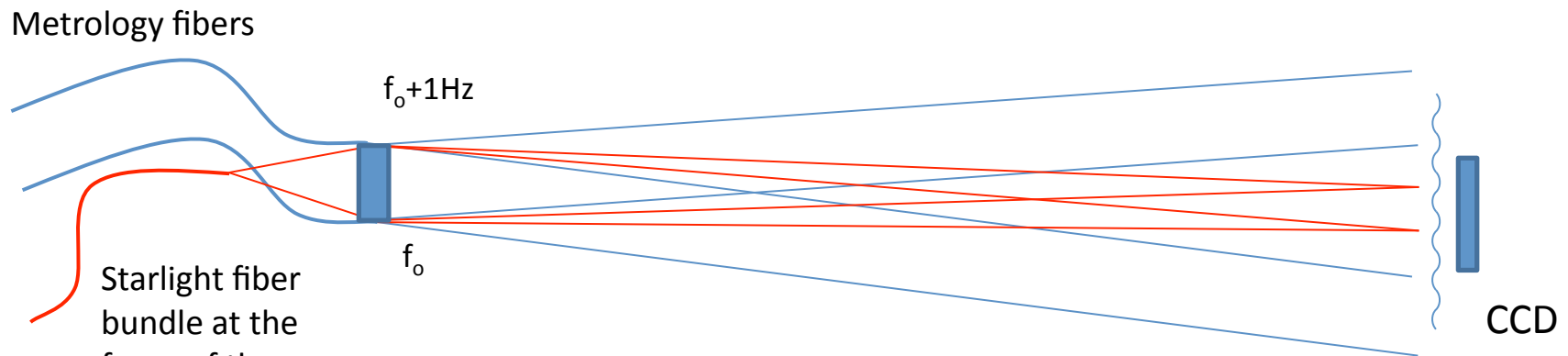
Mean behavior for 10 random 10x10 zones



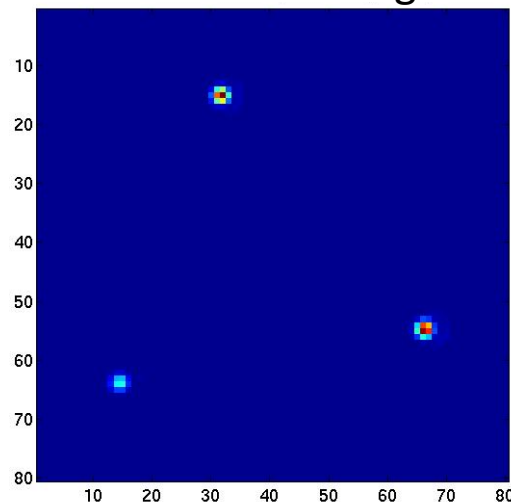
- If we were to fit a static fringe pattern across many pixels, the QE variations that are unknown would bias the pixel position.
- Instead, with heterodyne fringes, we measure the position of a pixel by looking at that pixel's flux versus time (phase of a sine wave in time).
- **We have demonstrated $2 \cdot 10^{-5}$ pixel position measurement error for groups of 10x10 pixels in less than 25 seconds of integration.**

Testbed: Next Step

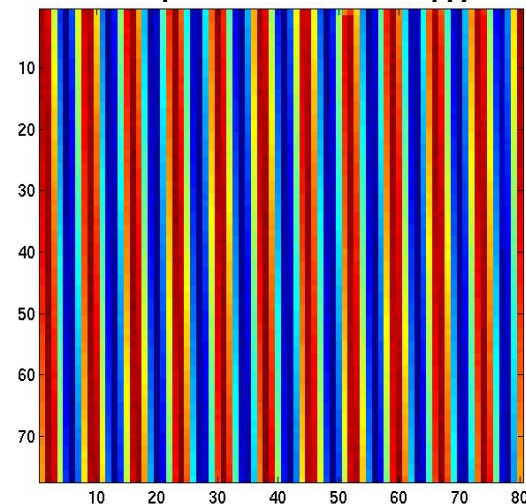
- Conduct 2D (X,Y) measurements of pixel position.
- Put pseudo-star images on the CCD and demonstrate centroiding to 10^{-5} pixels.



Pseudo-star images



Focal-plane metrology



Star Centroiding to 10^{-5} pixel

Point Spread Function (PSF) definitions:

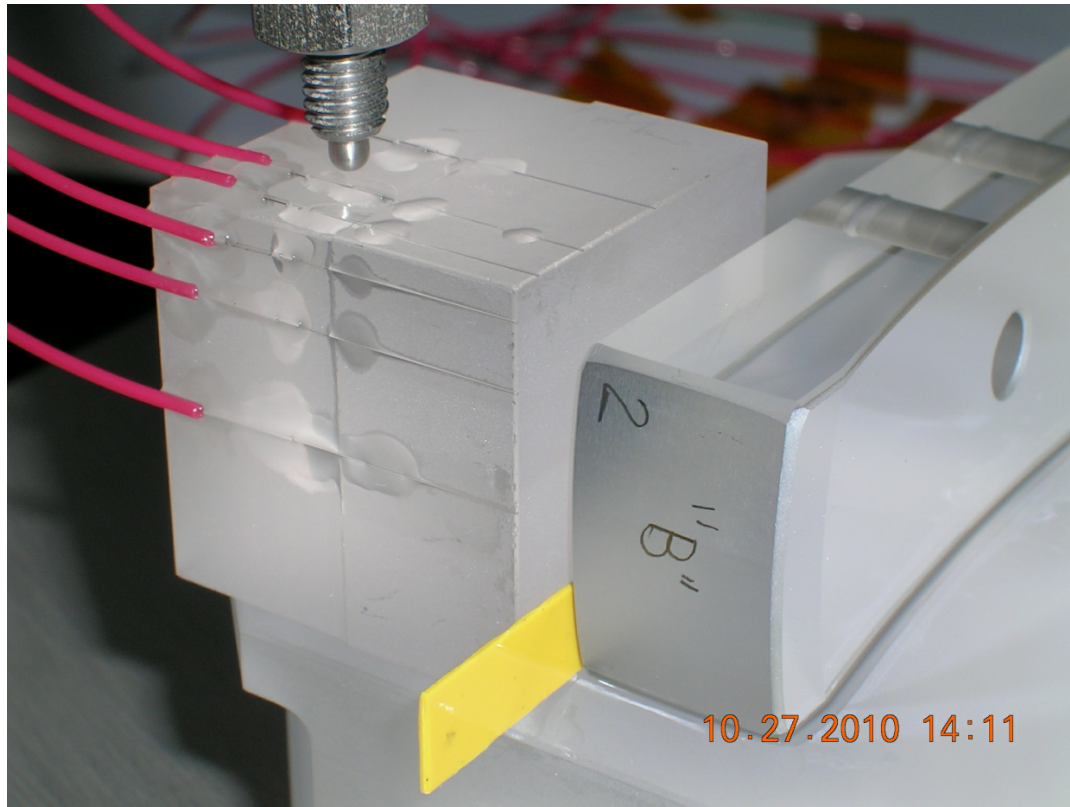
- **True PSF:** Image(x,y) at infinite spatial resolution.
- **Model PSF:** Our guess of what the true PSF is.
- **Pixelated PSF:** $I(i,j)$, the integral of $\text{Image}(x,y) \cdot Q_{E_{i,j}}(x,y) \cdot dx \cdot dy$

Classical Approach for centroiding:

- Perform Least-Square Fit of CCD data to the pixelated model PSF, fitting for x, y, intensity.
- Known problems:
 - True PSF differs from model PSF, true PSF changes with star color, position in FOV, and as the optics warp. But more important, the model PSF is not the true PSF.
 - Calculating the pixelated PSF from the model PSF requires knowledge of $Q_E(x,y)$ within every pixel.
 - The canonical approach to measuring $Q_E(x,y)$ is to scan a spot across each pixel. No done because of practical reasons: can't do all pixels at once, diffraction pattern spills over to next pixel, knowledge of the scanning spot position.

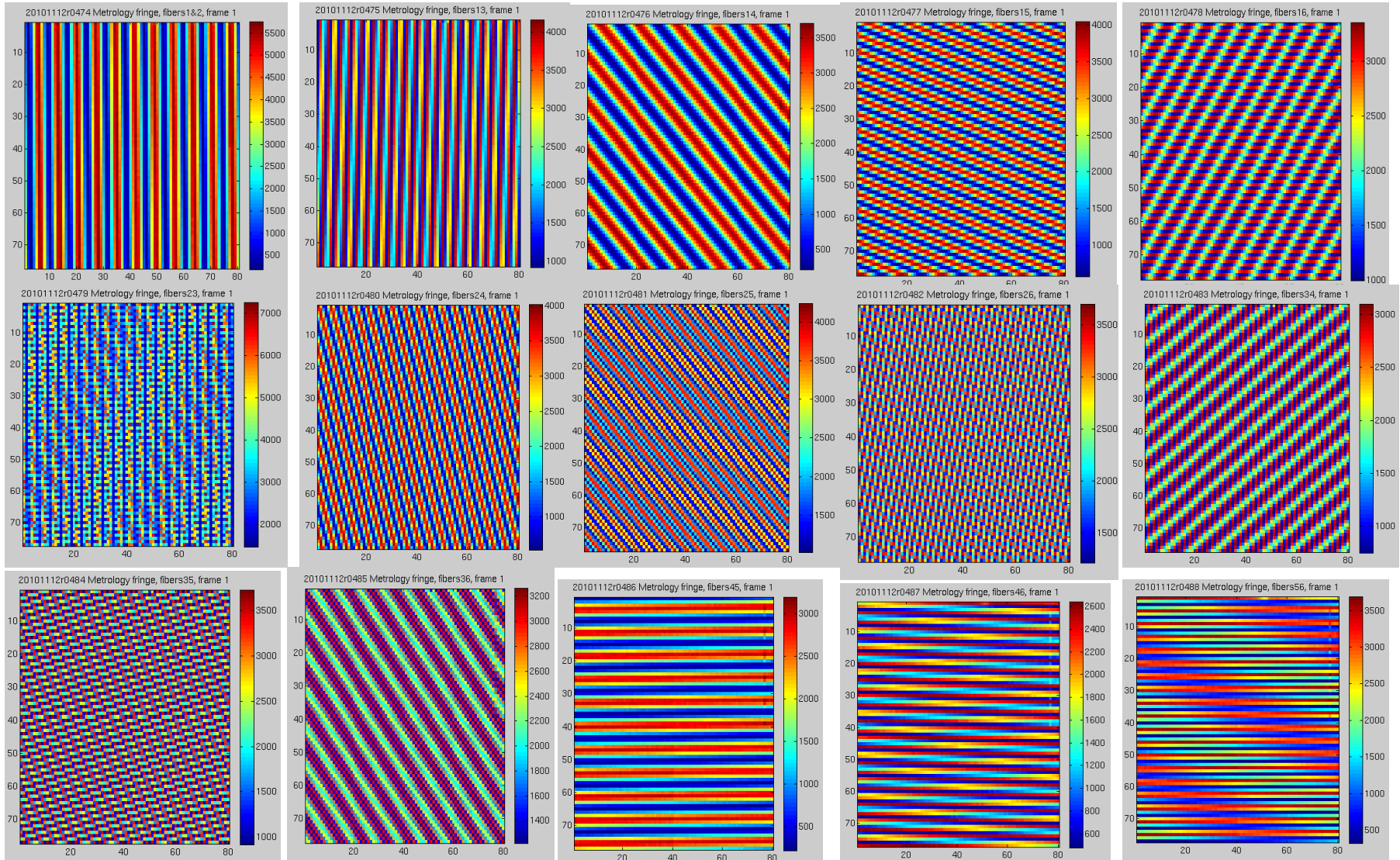
NEAT Approach for centroiding:

- **Nyquist** theorem: Critically sampling a band limited function at greater than $2 \times \text{bandwidth}$ is sufficient to **perfectly** reproduce that function.
 - We have the knowledge of the **true** PSF in the data, not a **guess** of the true PSF.
- We use laser metrology to measure $Q_E(x,y)$ for all pixels simultaneously. In fact, we measure the Fourier Transform of $Q_E(x,y)$, by putting fringes of various spacing and directions across the CCD.
- Numerical simulations show that $Q_E(x,y)$ calibrated with **6 parameters** per pixel is sufficient for $\sim 2 \times 10^{-6}$ pixel centroiding for a backside CCD with P-V QE variation $< 10\%$ across pixel.



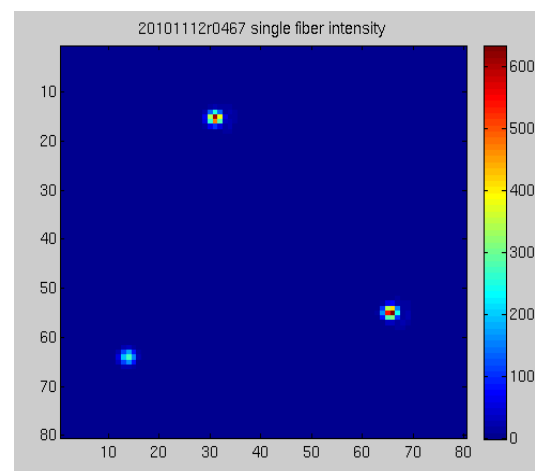
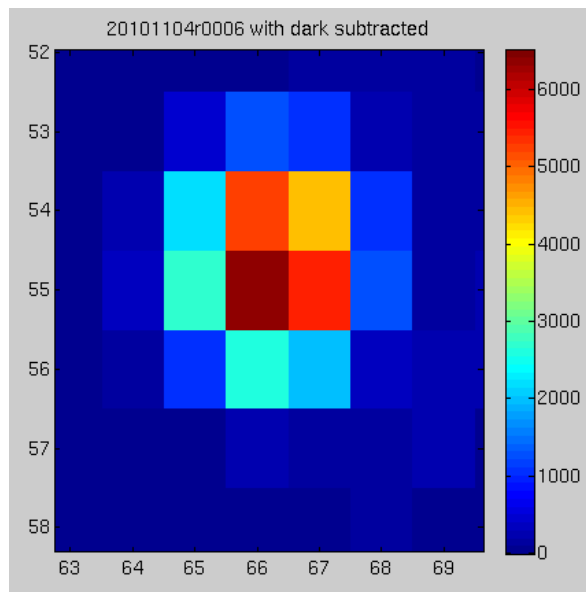
- Measure pixel position and $QE(x,y)$ within each pixel. By putting fringe patterns across the CCD with different fringe spacing and orientation. QE MTF is measures the fourier transform of $QE(x,y)$ is measured.
- Numerical simulations show that $QE(x,y)$ calibrated with **6 parameters** per pixel is sufficient for $\sim 2 \times 10^{-6}$ pixel centroiding for a backside CCD with P-V QE variation $< 10\%$ across pixel (assuming intra-pixel QE varies by $< 6\%$).

Sample Metrology Spatial Fringes



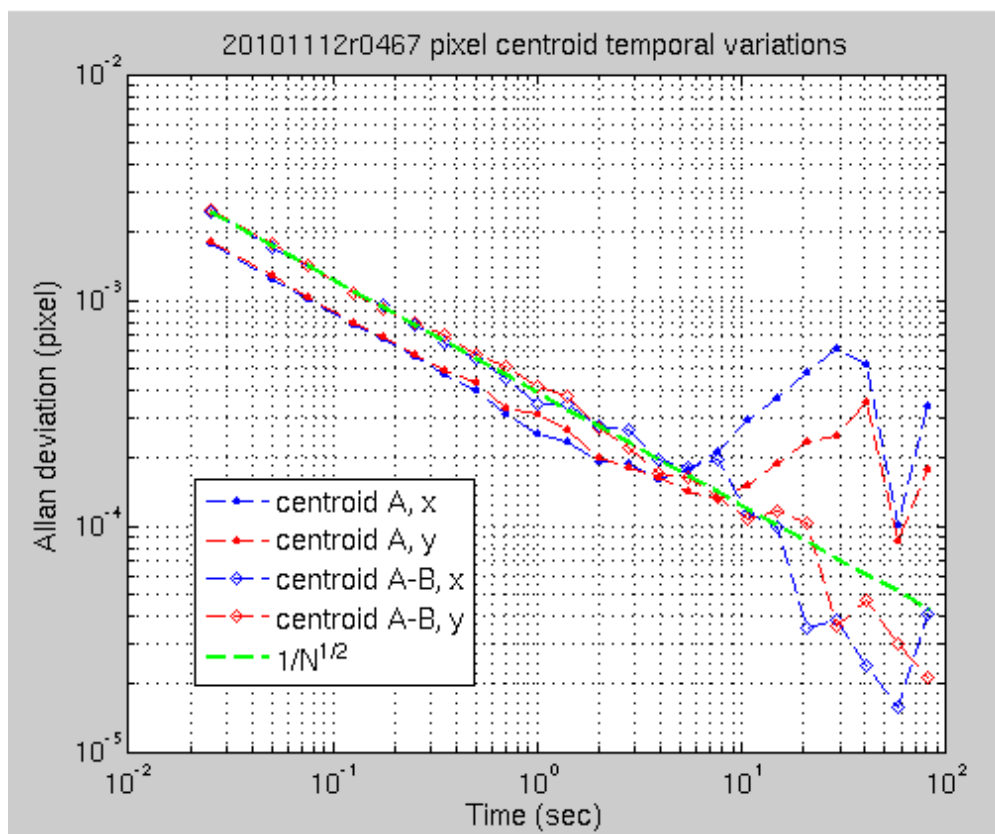
Images are Nyquist Sampled > 2 pixel /(λ/D)

True optical PSF derived from pixelated PSF.



3 stellar images on CCD

Centroid Allan Deviations



Single Fiber centroid reaches noise floor at $3e-4$ pixels (drift)
Differential centroid continue to average down to $\sim 4e-5$ pixels after 100 sec integration

Calibrating vs Averaging CCD Errors

- Without sub-pixel calibration, CCD pixelation and imperfect PSF models will produce a few $\sim 3 \times 10^{-3}$ pixel errors.
- One can calibrate sub-pixel QE variations, with very high accuracy to get $1e-6$ pixel centroiding. OR
- One can move the image to 10^7 different statistically independent positions on the CCD and average down the hopefully random errors down to 10^{-6} pixels.
- Or one can use a combination of the two approaches.
 - The question of asking \sqrt{N} to provide accuracy gives rise to the question, are the errors really random at that level? If one is measuring the distance between 2 stars as the two stars are moved across many CCD pixels, might have systematic errors if the spacing between pixels slowly varies across the chip.
 - The use of laser metrology to define the CCD pixel geometry can go a long ways to removing the type of systematic error that prevents averaging to large N
- The approach we'll most likely use in NEAT is to calibrate close the the requirement, then use \sqrt{N} for the last factor of a few.
 - Ref stars ccd's will be read out at ~ 50 hz, in 2 hrs we'll have 360K images.

A Possible Addition to NEAT

(Not supported by the NEAT science team)

- We made a case that astrometry can, needs to and should find the exo-Earths around ~ 75 nearby stars.
 - A direct detection mission that can search 75 stars and get spectra @800nm needs a large telescope (5~10m)
- We also need to know the level of exo-zodi around stars with exo-Earths, if we want to be able to specify the size of the coronagraph to 20% instead of ± 5 Billion\$. A 10m telescope may be needed because all stars have high (3~10) exo-zodi levels.
- The NEAT telescope is compatible with all existing coronagraph concepts. The 1m aperture is a bit small, but measuring exo-zodi at 500nm (not 800nm) and at 1.5~2AU will give us a good idea of the exo-zodi environment, of the stars that have exo-Earths. This provides a solid foundation for a direct/spectroscopic mission.

Request to the EXOPAG

- Endorse NEAT , finding nearby earths should be a high priority of any exoplanet program.
 - Communicate this to NASA and to ESA
- Endorse NASA participation in NEAT
- EXOPAG Consider/analyse NASA adding to NEAT a high performance coronagraph to study exo-zodi of nearby stars.
 - The best way, perhaps the only way to reduce the “perceived cost” of a space coronagraph is to fly a coronagraph in space. (At the cost of an instrument, not the cost of a mission, in an environment where failure to achieve $1e-10$ contrast doesn't result in total mission failure. Making science measurements (exo-zodi) that is scientifically dull, but absolutely necessary for any future direct detection mission.)

Backup

Ground Detection of Jupiters, Reflected Light

Sun-Jupiter @ 5AU has a contrast of $1e-9$

At 0.5AU the contrast is $1e-7$.

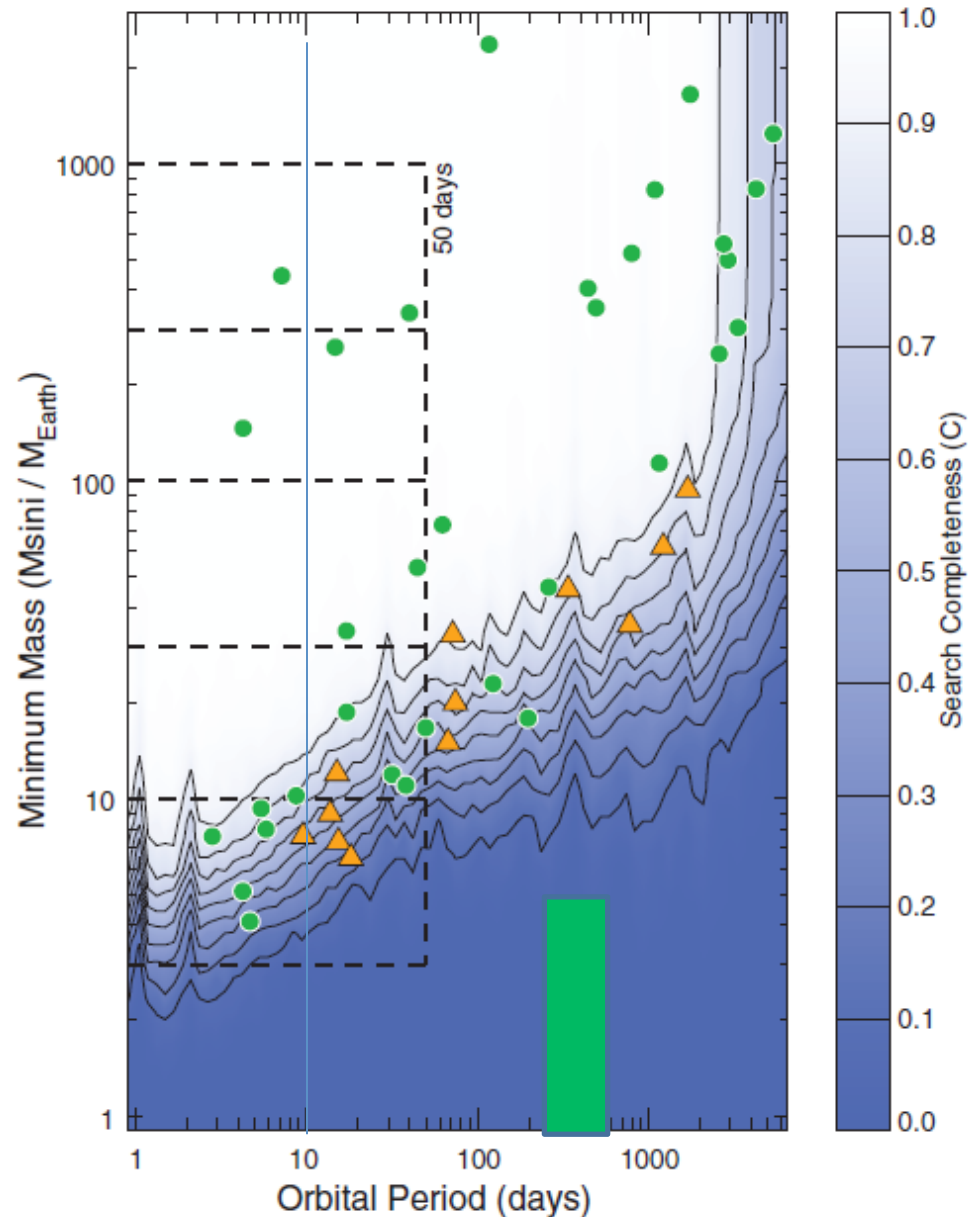
At 10pc this is 50 mas.

$1.2\mu\text{m}/30\text{m} = 8.3\text{mas}$. Jupiter is at $6 \lambda/D$

On the ELT 42m this is $8.4 \lambda/D$

(The baseline coronagraph for TMT is a vis
Nulling coronagraph. But also the segmented
aperture is less serious at $1e-7$ rather than
 $1e-10$ contrast.)

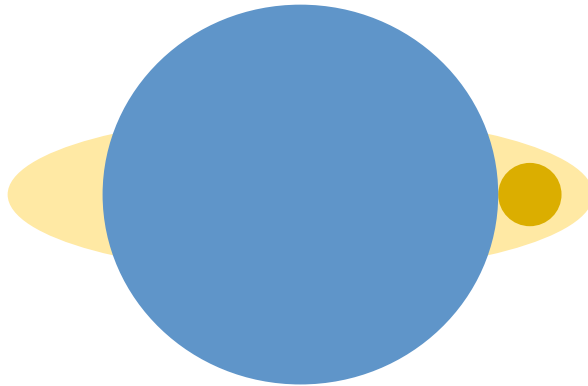
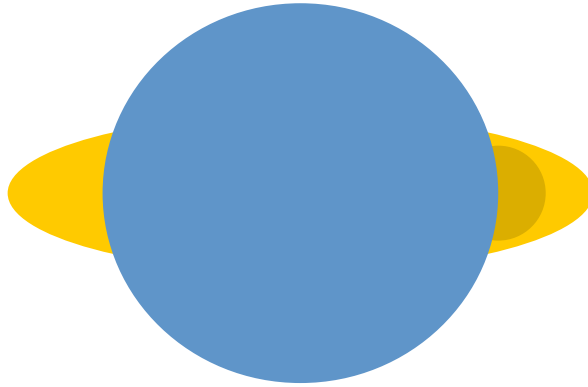
Fig. 1. Detected planets (green circles) and candidate planets (orange triangles) from the Eta-Earth Survey as a function of orbital period and minimum mass. Five mass domains (3 to 10, 10 to 30, 30 to 100, 100 to 300, and 300 to 1000 M_{Earth}) out to 50-day orbits are marked with dashed lines. Search completeness—the fraction of stars with measurements sufficient to rule out planets in circular orbits of a given minimum mass and orbital period—is shown as blue contours from 0.0 to 1.0 in steps of 0.1.



IWA = $2 \lambda/D$

Planet @ $2 \lambda/D$

Exozodi=1 => Exozodi ~12X planet



IWA = $4 \lambda/D$

Planet @ $4.5 \lambda/D$

Exozodi=1 => Exozodi ~3X planet

Exo-zodi surface brightness is constant, higher angular resolution means exozodi flux per airy spot is smaller.

Control of Focal Plane Errors

- Two types of focal plane errors
- Pixel location. (accuracy of pixel placement not important, **stability over 5 years** is) ($0.3 \sim 1.0 \times 10^{-5}$ pixels)
 - PIAA concept solves this problem by only doing astrometry **within 1 CCD**. (20 arcsec local astrometry ref star and nearby diff spike on the same chip, $0.2 \mu\text{as} / 20 \mu\text{as} \sim 10^{-8}$ does this sqrt(N) down?)
 - In our concept we measure the position of the chip with a **laser system**. (no photon penalty of faint diff spikes)
- CCD QE errors (QE(x,y) and its effect on PSF centroiding)
uncalibrated, this error is $0.01 \sim 0.03$ pixels.
 - PIAA concept solves this by sqrt(N). Here $N \sim 10^6$ from 0.01pix to 10^{-5} pix. Rotation around LOS $\sim 90^\circ$.
 - Our concept, calibration of Pixel location and QE(x,y) along with repeatedly putting the star on the same part of the same pixel at every epoch.

Stability of Focal Plane Fringes

- The fringes produced by the fibers near the primary are used to measure the distance between every pixel in the focal plane. How stable do the fringes have to be?
 - The fringes are used to measure the distance between pixels. The fringes sweep by at $\sim 5\text{Hz}$. The “phase” of the fringe at pixel “a” is compared to the phase at pixel “b”. The distance between pixels is measured in units of fringes. (λ/α , α is the angle between interfering beams) The wavelength of the laser will be very stable but what about α ?

$$\begin{aligned}X_t &= A \cdot X_m + B \cdot Y_m + C \\Y_t &= D \cdot X_m + E \cdot Y_m + F\end{aligned}$$

~ 100 years ago astrometrists used the affine transformation to correct for “simple” geometric errors in the focal plane by using the position of “reference” stars to solve for the “Plate” parameters ABCDEF. That represent X,Y origin, X,Y scale, rotation of the image and rotation of the X vs Y axis. Using this approach there is no requirement for stability of the fibers location.

Cost Implications

- The great reliance on \sqrt{N} to average out errors \Rightarrow a fully populated focal plane $\sim 10^9$ pixels. The Kepler 10^8 pix focal plane is \sim \$38M.
- Rotation implies a very large data volume. If an image is recorded for every 1/10 pixel rotation of the FOV, the data volume is ~ 50 Terabytes per observation (2 days).
 - 20 arcsec around 1000 ref stars is $\frac{1}{2}$ the focal plane. Reduction in the data volume by $100 \sim 1000\times$ is needed to fit within the capabilities of the DSN. (worst case)
 - Best case still being investigated
- The long focus telescope design needs a long deployed boom.
(some way to hold the focal plane a long distance from the primary)

Dynamic (vs static) Focal Plane Metrology

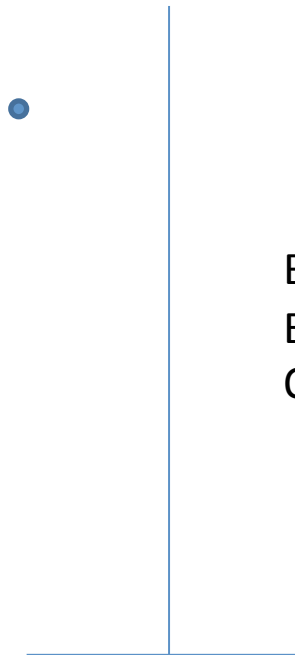
- With static focal plane metrology the fringes are stationary. We centroid the fringes vs the stars.
 - With static fringes, all the intra pixel QE errors affect the centroiding of the stars also affect centroiding of the fringes.
- Dynamic fringes (constantly moving) does not let us measure the position of a pixel, only the distance between 2 pixels.
 - $\text{CCD_flux}(\text{time}) = 1 + \text{vis} * \sin(w * t + \phi)$ (independent of QE variations within a pixel, as long as the $\text{QE}(x,y)$ variations are constant in time.
 - Our SIM laser heterodyne metrology also uses temporal fringe modulation.
 - Temporal fringes from each pixel tells us (relative location to another pixel” and the pixel’s width) Fringes with periods < pixel width explore QE inside a pixel.

3D focal plane measurements

2 fibers are needed to measure motion of CCD in X

3rd fiber is needed to measure X,Y motion

4th fiber is sufficient to measure XYZ motion of the CCD.



Bisector

Everywhere along Bisector

OPD from two fibers are equal